



3624

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/981,251	
	Filing Date	10/16/2001	
	First Named Inventor	Vishwanath, et al.	
	Art Unit	3621	
	Examiner Name	Cheung	
Total Number of Pages in This Submission		Attorney Docket Number	64423-00040

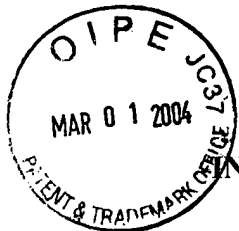
ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<b>Remarks</b> Information Disclosure Statement by Applicant (formerly 1449/PTO; 3 References; and Return receipt postcard.		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Locke Liddell & Sapp LLP - CUSTOMER NUMBER 22904	
Signature		
Date	February 27, 2004	

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*Applicants:*

**Balamani S. Vishwanath**  
**Brian D. Mantz**

*Serial No.:* **09/981,251**  
*Filing Date:* **October 16, 2001**

*Title:* **User/Product Authentication and  
Piracy Management System**

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*Art Group No.:* **2161**

*Examiner:* **Unknown**

*Attorney Docket No:* **64423-00040**

*Patricia Lewis*  
*#4/205*  
*3.3.04*

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**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97, Applicant hereby submits the following references:

**PATENTS:**

US 6,405,203 B1; US 6,393,569B1; EP 1 246 445 A1

Copies of the cited references are enclosed. The listed references were recently cited by the European Patent Office's International Search Report, dated January 27, 2004, in the corresponding PCT Application No. PCT/US02/00041.

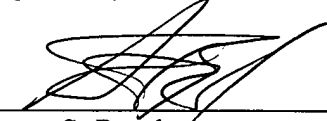
Legible copies of all items listed in the attached Form PTO/SB/08A (formerly PTO-1449) accompany this Information Disclosure Statement.

Citation of these references should not be construed as an admission that they constitute prior art of the claimed invention.

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Applicant believes that no fees are due with the submission of this document. However, if Applicant is in error, the Commissioner is hereby authorized to debit the Locke Liddell & Sapp LLP Deposit Account No. 12-1322 (64423-00040).

Respectfully submitted,

  
\_\_\_\_\_  
Steven S. Boyd  
Reg. No. 42,353

Dated: February 27, 2004

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Telephone No.: (713) 226-1142  
Facsimile No.: (713) 223-3717

**CERTIFICATE OF SERVICE UNDER 37 C.F.R. 1.8(A)**

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DATED: February 27, 2004

  
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Beth A. Sanders

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Substitute for form 1449-PLC

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet

1

9

1

**Complete if Known**

Application Number	09/981,251
Filing Date	10/16/2001
First Named Inventor	Vishwanath
Art Unit	3621
Examiner Name	Unknown
Attorney Docket Number	64423-00040

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
		EP 1 246 455 a1	10/02/2002	Reith		

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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